

Policy Type	General Administrative Practices
Policy Name	AR 49, Compliance with Levine Act
<b>Resolution Number</b>	2023-0316; 2025-0154
Effective Date	May 1, 2023; amended March 4, 2025

### I. PURPOSE

The purpose of this policy is to establish procedures to ensure the County's compliance with Levine Act requirements and facilitate the efforts of County officers, participants, and agents in their efforts to comply with the Act.

## II. SCOPE

This policy applies to all County Agencies and Departments. County Agencies and Departments may have additional policies more specific to their Agencies or Departments, and not in conflict with this policy, to maintain Levine Act compliance for licenses, permits, or entitlements for use subject to action by County officers within their Agencies or Departments.

## III. POLICY

County Agencies and Departments shall comply with Government Code section 84308, a provision of the Political Reform Act of 1974 (Gov. Code, § 81000 et seq.) generally known as the "Levine Act." Generally, the Levine Act includes the following requirements:

# A. County officer may not accept contribution after officer knows proceeding is pending

While a proceeding involving a license, permit, or other entitlement for use from the County is pending, and for 12 months following the date a final decision is rendered in the proceeding, a County officer shall not accept, solicit, or direct a campaign contribution, to their own or any other campaign, of more than \$500 from a party to the proceeding or a party's agent, or a participant or a participant's agent if the officer has reason to know that the participant has a financial interest in the proceeding.

# B. County officer may not participate after receiving contribution

A County officer shall not make, participate in making, or in any way use the officer's official position to influence a decision in a proceeding involving a license, permit, or other entitlement for use from the County, if the officer has willfully or knowingly received a campaign contribution of more than \$500 within the preceding 12 months from a party to the proceeding or a party's agent, or a participant or a participant's agent if the officer has reason to know that the participant has a financial interest in the proceeding.

# C. Party may not contribute to County officer while proceeding is pending

A party to a proceeding involving a license, permit, or other entitlement for use, or a participant in the proceeding, or their agents, shall not make a campaign contribution of more than \$500 to a County officer while the proceeding is pending before the County, and for 12 months following the date the final decision is rendered in the proceeding.



#### D. Party must disclose contributions made to County officer within certain timeframe

A party to a proceeding involving a license, permit, or other entitlement for use pending before the County shall disclose on the record, before a decision is made in the proceeding, any campaign contribution of more than \$500 made to a County officer within the preceding 12 months by the party or the party's agent.

#### IV. POLICY ADMINISTRATOR

The County Administrative Officer, or designee, shall be responsible for administering this policy. The County Administrative Officer or designees are authorized to issue and enforce supplemental instructions, directives, and procedures to implement this Administrative Regulation.

#### V. DEFINITIONS

- A. "Act" means the Levine Act, found in Government Code section 84308, a provision of the Political Reform Act of 1974.
- **B.** "Board" means the Tulare County Board of Supervisors.
- **C.** "Contribution" or "campaign contribution" includes contributions to candidates for County offices, incumbent County officeholders, and/or their controlled committees in federal, state, or local elections, including contributions to campaigns for non-County offices.
- D. "License, permit, or other entitlement for use" means all business, professional, trade, and land use licenses and permits and all other entitlements for use, including all entitlements for land use, all contracts except as specified below, and all franchises. Amendments, extensions, and renewals of licenses, permits, or other entitlements for use are included within this definition.

This definition <u>excludes</u>:

(1) Competitively bid contracts that are required by law, County ordinances, or County policies to be awarded pursuant to a competitive process.

"Competitively bid contract" means a contract required by law to be awarded to the lowest responsible bidder with a responsive bid, or, if the successful bidder refuses or fails to execute the contract, to the next lowest bidder with a responsive bid. Contracts awarded, or to be awarded, based on responses to Requests for Proposals (RFP's) or Requests for Statements of Qualifications (RFQ's) do not come within this exception and so are subject to compliance with the requirements of this Administrative Regulation.

- (2) Collective bargaining agreements.
- (3) Personal employment contracts.
- (4) Contracts valued under fifty thousand dollars (\$50,000).
- (5) Contracts where no party receives financial compensation.



- (6) Contracts where the only parties are the County and one or more other public agencies, whether federal, state, regional, or local.
- (7) The periodic review or renewal of development agreements unless there is a material modification or amendment proposed to the agreement.
- (8) The periodic review or renewal of competitively bid contracts unless there are material modifications or amendments proposed to the agreement that are valued at more than 10 percent of the value of the contract or fifty thousand dollars (\$50,000), whichever is less.
- (9) Modification of or amendments to contracts that are exempt under this list of exceptions, other than competitively bid contracts.

Note: if a contract no longer qualifies for the exemption (such as when a contract is amended and the new value is \$50,000 or more), then this policy will begin to apply. Similarly, if a contract which previously was subject to this policy is amended to qualify for an exemption (such as when a contract is amended and the new value is under \$50,000), then this policy will no longer apply after that.

- E. "Officer" means only those County officers who may make, participate in making, or in any way attempt to use their official position to influence a decision in a proceeding for a license, permit, or entitlement for use, or who exercise authority or budgetary control over County Agencies or Departments which may do so, and are one or more of the following:
  - (1) County officers who serve in an elected position, including any officer appointed to an elected position due to an interim vacancy or an election otherwise canceled because the officer was the sole candidate for the position;
  - (2) County officers who serve as a member of a board or commission;
  - (3) The Chief Administrative Officer; or
  - (4) Any County employee whose position is included in the County's conflict of interest code and has decisionmaking authority with respect to a proceeding involving a license, permit, or other entitlement for use, who is also a candidate for elected office or has been a candidate for elected office in the 12 months prior to the proceeding.

This definition excludes County Counsel providing legal advice to the County, provided that County Counsel does not have the authority to make a final decision in the proceeding.

- **F.** "Participant" means any person who is not a party but who meets both of the following requirements:
  - (1) The person actively supports or opposes a particular decision in a proceeding involving a license, permit, or other entitlement for use (a person "actively supports or opposes a particular decision in a proceeding" if that person lobbies in person the officers or employees of the County, testifies in person before the County, or otherwise acts to influence officers of the County in the proceeding); and



COUNTY OF TULARE

## (2) The person has a financial interest in the decision as defined in the Political Reform Act.

A County officer generally knows or has reason to know that a participant has a financial interest in a decision <u>only</u> if the officer has actual knowledge of the financial interest, or the participant reveals facts in written or oral statements during the proceeding before the officer that make the person's financial interest apparent. However, a County officer aware of any of the following facts <u>does</u> have reason to know of a participant's potential financial interest:

- (1) The participant has an interest in property located within 500 feet of the real property at issue in the proceeding;
- (2) The participant has an economic interest in a business entity that may see a significant increase or decrease in customers as a result of the proceeding; or
- (3) The participant has a business relationship with the applicant that may result in additional services provided to the applicant.

An officer does not know or have reason to know of a participant's financial interest in a decision solely as a result of the participant identifying an economic interest located in the general vicinity of a business entity or real property at issue in the proceeding.

- **G.** "Party" means any person who files an application for, or is the subject of, a proceeding involving a license, permit, or other entitlement for use. This includes persons who submit responses to RFP's or RFQ's.
- **H.** "Pending" means:
  - (1) For a County officer, a proceeding involving a license, permit, or other entitlement for use is pending when either of the following occurs:
    - (a) The decision is before the officer for the officer's consideration. If the officer is a member of the Board, this includes any item placed on the agenda for discussion or decision at a public meeting of the Board, whether in open or closed session or both; or
    - (b) The officer knows or has reason to know a proceeding involving a license, permit or other entitlement for use is before the jurisdiction of the County for its decision or other action, and it is reasonably foreseeable that the decision will come before the officer in the officer's decisionmaking capacity.
  - (2) For a party or party's agent, or a participant or participant's agent, a proceeding involving a license, permit or other entitlement for use is pending when it is before the jurisdiction of the County for its decision or other action, such as when an application is first filed with the County.
- I. "Pending litigation" means a formal claim or court action, or other formal adversarial process, to which the County is a party, where the underlying County decision was subject to the Levine Act.
- J. A "proceeding involving a license, permit or other entitlement for use" means any proceeding to grant, deny, revoke, restrict, or modify a license, permit, or other entitlement for use, that does not solely involve purely ministerial decisions and is:



- (1) Applied for by the party;
- (2) Formally or informally requested by the party; or
- (3) A contract between the County and the party or a franchise granted by the County to the party, other than a contract that is excluded under the above definitions.

### VI. **RESPONSIBILITIES**

Each application or solicitation for a County license, permit, or other entitlement for use subject to action by a County officer or officers that does not solely involve purely ministerial decisions, and otherwise qualifies as a "proceeding" as defined above, shall require applicants and bidders to receive the "Campaign Contribution Disclosure Information" as identified in *Attachment B* and complete a "Campaign Contribution Disclosure Form" as identified in *Attachment C*.

County Agencies or Departments shall ensure that each applicant or bidder for a County license, permit, or other entitlement for use that does not solely involve purely ministerial decisions, and otherwise qualifies as a "proceeding" as defined above, which is subject to action by a County officer or officers, as defined in this policy, has completed a Campaign Contribution Disclosure Form before processing the application or solicitation for review. County Agencies or Departments shall review Campaign Contribution Disclosure Forms to identify those wherein an applicant or bidder has disclosed a contribution of more than \$500 to a County officer.

Certain corporations where the County has no other option in a contract, such as a railroad, may refuse to complete the form. In these circumstances, the County Agency or Department may instead require the corporation to provide copies of its most recent Statement of Information and Publicly Traded Corporate Disclosure Statement, and may include a statement similar to the following in the agenda item:

Additionally, Staff has done a review of the railroads' business filings to identify possible parties, participants, or agents associated with the railroads in conformance with the Levine Act (Gov. Code, § 84308 and Cal. Code Regs., tit. 2, § 18438.1 et seq.). Attachment [insert letter or number] has been compiled to provide a comprehensive disclosure of all the individuals identified.

[Attach the Statement of Information and Publicly Traded Corporate Disclosure Statement.]

County Agencies or Departments are not responsible for reviewing County officer campaign filings or donor contribution records.

#### A. Board Agenda Items

County Agencies or Departments shall identify in Board Agenda Items (BAI's) that an application or solicitation for a County license, permit, or other entitlement for use requiring Board action is subject to the Levine Act.

Where a County Agency or Department identifies that a County license, permit, or other entitlement for use requiring Board action is subject to the Levine Act, before a BAI is approved by the submitting Agency or Department head, the Agency or Department must produce to the County Administrative Office and County Counsel those Campaign Contribution Disclosure Forms wherein an applicant or bidder has disclosed a contribution of more than \$500 to a County officer. If disclosed to a County Agency or Department, the name of each participant that has provided a contribution of more than \$500 to a County officer in the preceding 12 months shall also be provided to the County Administrative Office



and County Counsel. The County Administrative Office or County Counsel will take any appropriate actions upon receipt of the Campaign Contribution Disclosure Notice. County Counsel will not approve as to form any proposed license, permit, or other entitlement for use until the submitting Agency's or Department's compliance with this policy is verified.

If anyone who would have been involved in the Department decision is an "officer" who has been disqualified under these requirements (either because the Department head is an elected official, or because an employee in the Department is or has been a candidate), the agenda item shall describe the officer's recusal and, if relevant, who has acted as the department head for purposes of the item.

## B. County Agency or Department Decisions

Where a County Agency or Department is aware that a County officer may make, participate in making, or in any way attempt may use the officer's official position to influence the decision of the Agency or Department in a proceeding involving a license, permit, or other entitlement for use pending before the County, before a decision is made on the item, the Agency or Department must produce to the County Administrative Office and County Counsel those Campaign Contribution Disclosure Forms wherein an applicant or bidder has disclosed a contribution of more than \$500 to a County Agency or Department, the name of each participant that has provided a contribution of more than \$500 to a County officer in the preceding 12 months shall also be provided to the County Administrative Office and County Counsel. The County Administrative Office or County Counsel will take any appropriate actions upon receipt of the Campaign Contribution Disclosure Notice.

If anyone who would have been involved in the Agency or Department decision is a County officer, as defined in this policy, who has been disqualified under these requirements (either because the Agency or Department head is an elected official, or because an employee in the Agency or Department who would otherwise be involved in the decision-making chain is or has been a candidate), the Department file shall describe the officer's recusal and, if relevant, who has acted as the Agency or Department head for purposes of the item.

If no County officer, as defined in this policy, is involved, the Agency or Department need not request that applicants or proposers complete the Campaign Contribution Disclosure Forms. For example, persons submitting responses to an RFP on a contract to be approved by Purchasing would not need to be asked to complete the forms, unless an employee of the General Services Agency or Purchasing Division who must file Form 700 and is involved in the decision-making process, is or recently was a candidate.

### C. Post-Decision Notifications

Where a party has disclosed to a County Agency or Department a contribution of more than \$500 to a County officer within 12 months after a final decision on the party's license, permit, or other entitlement for use, the County Agency or Department shall provide that information to the County officer and County Counsel. If disclosed to a County Agency or Department, the name of each participant that has provided a contribution of more than \$500 to a County officer within the 12 months after a final decision on a party's license, permit, or other entitlement for use shall also be provided to the County Administrative Office and County Counsel. The County Administrative Office or County Counsel may take any appropriate actions upon receipt of the Campaign Contribution Disclosure Notice.

# VII. PENDING LITIGATION

County officers shall recuse themselves during pending litigation, if:



- **A.** The County officer recused himself or herself at the time of the underlying County decision due to campaign contributions subject to the Levine Act;
- **B.** The County officer receives campaign contributions subject to the Levine Act within 12 months after the underlying County decision; or
- **C.** The County officer receives campaign contributions which would have been subject to the Levine Act if they had been made within 12 months after the underlying County decision.

The same rules for disqualification shall apply during this extended period that would have applied under the Levine Act. In particular, County officers may return contributions and be eligible to participate as follows:

- (1) If a contribution is returned within 30 days from the time the officer makes any decision, or knows, or should have known, about the contribution and the pending litigation, whichever comes last, the officer may participate in the pending litigation.
- (2) If a contribution is received during the 12 months after the final decision in the litigation, the officer may cure the violation by returning the contribution, or the portion of the contribution in excess of five hundred dollars (\$500), within 30 days.

(This prohibition does not apply to any other party to the pending litigation.)

### VIII. ATTACHMENTS

#	Title
А	Government Code Section 84308
В	Campaign Contribution Disclosure Information
С	Campaign Contribution Disclosure Form

# Attachment A To Administrative Regulation 49

# GOVERNMENT CODE SECTION 84308 (As amended effective Jan. 1, 2025)

(a) The definitions set forth in this subdivision shall govern the interpretation of this section.

(1) "Party" means any person who files an application for, or is the subject of, a proceeding involving a license, permit, or other entitlement for use.

(2) "Participant" means any person who is not a party but who actively supports or opposes a particular decision in a proceeding involving a license, permit, or other entitlement for use and who has a financial interest in the decision, as described in Article 1 (commencing with Section 87100) of Chapter 7. A person actively supports or opposes a particular decision in a proceeding if that person lobbies in person the officers or employees of the agency, testifies in person before the agency, or otherwise acts to influence officers of the agency. A person is not a "participant" under this paragraph if their financial interest in the decision results solely from an increase or decrease in membership dues.

(3) "Agency" means an agency as defined in Section 82003 except that it does not include the courts or any agency in the judicial branch of government, the Legislature, the Board of Equalization, or constitutional officers. However, this section applies to any person who is a member of an exempted agency but is acting as a voting member of another agency.

(4) "Officer" means any elected or appointed officer of an agency, any alternate to an elected or appointed officer of an agency, and any candidate for elective office in an agency, other than a city attorney or county counsel providing legal advice to the agency who does not have the authority to make a final decision in the proceeding.

(5)(A) Except as provided in subparagraph (B), "license, permit, or other entitlement for use" means all business, professional, trade, and land use licenses and permits and all other entitlements for use, including all entitlements for land use, all contracts, and all franchises.

(B) "License, permit, or other entitlement for use" does not include any of the following:

(i) Competitively bid contracts that are required by law, agency policy, or agency rule to be awarded pursuant to a competitive process.

- (ii) Labor contracts.
- (iii) Personal employment contracts.
- (iv) Contracts valued under fifty thousand dollars (\$50,000).

(v) Contracts where no party receives financial compensation.

(vi) Contracts between two or more agencies.

(vii) The periodic review or renewal of development agreements unless there is a material modification or amendment proposed to the agreement. Non-material modifications or amendments may be approved by agency staff.

(viii) The periodic review or renewal of competitively bid contracts unless there are material modifications or amendments proposed to the agreement that are valued at more than 10 percent of the value of the contract or fifty thousand dollars (\$50,000), whichever is less. Non-material modifications or amendments may be approved by agency staff.

(ix) Modification of or amendments to contracts that are exempt under this subparagraph, other than competitively bid contracts.

(6) "Contribution" includes contributions to candidates and committees in federal, state, or local elections.

(7) "Pending" in a proceeding involving a license, permit, or other entitlement for use means either of the following:

(A) For an officer, when either of the following occurs:

(i) An item involving the license, permit, or other entitlement for use is placed on the agenda for discussion or decision at a public meeting of the body of which the officer is a member.

(ii) The officer knows a proceeding involving a license, permit, or other entitlement for use is within the jurisdiction of the officer's agency for its decision or other action, and it is reasonably foreseeable that the decision will come before the officer in the officer's decisionmaking capacity.

(B) For a party or party's agent, or a participant or participant's agent, when an application is filed with an agency, or, if the proceeding process does not require an application, when the proceeding is before the agency for its decision or other action.

(b)(1) While a proceeding involving a license, permit, or other entitlement for use is pending, and for 12 months following the date a final decision is rendered in the proceeding, an officer of an agency shall not accept, solicit, or direct a contribution of more than five hundred dollars (\$500) from any party or a party's agent, or from any participant or a participant's agent if the officer knows or has reason to know that the participant has a financial interest, as that term is used in Article 1 (commencing with Section 87100) of Chapter 7. This prohibition applies regardless of whether the officer accepts, solicits, or directs the contribution on the officer's own behalf, or on behalf of any other officer, or on behalf of any candidate for office or on behalf of any committee.

(2) With respect to elected officers, paragraph (1) applies only if the elected officer or the body of which they are a member has the authority to make any decision or recommendation in the proceeding.

(c)(1) Before rendering any decision in a proceeding involving a license, permit, or other entitlement for use, each officer of the agency who received a contribution within the preceding 12 months in an amount of more than five hundred dollars (\$500) from a party or from any participant shall disclose that fact on the record of the proceeding. An officer of an agency shall not make, participate in making, or in any way attempt to use the officer's official position to influence the decision in a proceeding involving a license, permit, or other entitlement for use if the officer has willfully or knowingly received a contribution in an amount of more than five hundred dollars (\$500) within the preceding 12 months from a party or a party's agent, or from any participant or a participant's agent if the officer knows or has reason to know that the participant has a financial interest in the decision, as that term is described with respect to public officials in Article 1 (commencing with Section 87100) of Chapter 7.

(2) With respect to elected officers, paragraph (1) applies only if the elected officer or the body of which they are a member has the authority to make any decision or recommendation in the proceeding.

(d)(1) If an officer receives a contribution that would otherwise require disqualification under this section, and returns the contribution within 30 days from the time the officer makes any decision, or knows, or should have known, about the contribution and the proceeding involving a license, permit, or other entitlement for use, whichever comes last, the officer shall be permitted to participate in the proceeding.

(2)(A) Subject to subparagraph (B), if an officer accepts, solicits, or directs a contribution of more than five hundred dollars (\$500) during the 12 months after the date the final decision is rendered in violation of subdivision (b), the officer may cure the violation by returning the contribution, or the portion of the contribution in excess of five hundred dollars (\$500), within 30 days of accepting, soliciting, or directing the contribution, whichever comes latest.

(B) An officer may cure a violation as specified in subparagraph (A) only if the officer did not knowingly and willfully accept, solicit, or direct the prohibited contribution.

(C) An officer's controlled committee, or the officer if no controlled committee exists, shall maintain records of curing any violation pursuant to this paragraph.

(e)(1) A party to a proceeding before an agency involving a license, permit, or other entitlement for use shall disclose on the record of the proceeding any contribution in an amount of more than five hundred dollars (\$500) made within the preceding 12 months before the date that any decision is rendered by the agency by the party or the party's agent.

(2) A party to a proceeding involving a license, permit, or other entitlement for use pending before any agency or a participant in the proceeding shall not make a contribution of

more than five hundred dollars (\$500) to any officer of that agency during the proceeding and for 12 months following the date the final decision is rendered by the agency in the proceeding.

(3) An agent to a party or participant shall not make a contribution in any amount to an officer during the time periods described in paragraph (2).

(4) When a closed corporation is a party to, or a participant in, a proceeding involving a license, permit, or other entitlement for use pending before an agency, the majority shareholder is subject to the disclosure and prohibition requirements specified in this section.

(f) This section shall not be construed to imply that any contribution subject to being reported under this title shall not be so reported.

(g) For the purposes of this section, in determining whether a contribution has exceeded five hundred dollars (\$500), the contributions of an agent shall not be aggregated with contributions from a party or participant.

(h)(1) A person is the "agent" of a party to, or a participant in, a pending proceeding involving a license, permit, or other entitlement for use only if the person represents that party or participant for compensation and appears before or otherwise communicates with an agency for the purpose of influencing the proceeding on behalf of a party or participant.

(2) If an individual acting as an agent is also acting as an employee or member of a law, architectural, engineering, or consulting firm, or a similar entity or corporation, both the entity or corporation and the individual are "agents."

(3) "Agent" includes a lobbyist registered to lobby the agency and who otherwise meets the requirements of paragraph (1).

(4) "Communicate with the agency for the purpose of influencing the proceeding" does not include either of the following:

(A) Preparing drawings or submissions of an architectural, engineering, or similar nature for a client to submit in a proceeding before the agency if both of the following conditions are met:

(i) The work is performed pursuant to the person's profession.

(ii) The person does not make any contact with the agency other than contact with agency staff concerning the process or evaluation of the documents prepared by the person.

(B) Providing technical data or analysis to an agency if the person does not otherwise engage in direct communication for the purpose of influencing the proceeding.

(i)(1) Except as provided in paragraph (2), the provisions of this act are severable. If any provision of this act or its application is held invalid, that invalidity shall not affect other

provisions or applications that can be given effect without the invalid provision or application.

(2) Subdivision (g) is not severable from paragraph (3) of subdivision (e) if paragraph (3) of subdivision (e) is held invalid in a final decision of a court of competent jurisdiction. If that occurs, subdivision (g) shall become inoperative on the date of that final decision.

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# Attachment B To Administrative Regulation 49

# COUNTY OF TULARE CAMPAIGN CONTRIBUTION DISCLOSURE INFORMATION

The attached Campaign Contribution Disclosure Form must be completed by applicants for, or persons who are the subject of, any proceeding involving a license, permit, or other entitlement for use, including most contracts and franchises, pending before the Board of Supervisors ("Board") of the County of Tulare or any of its affiliated agencies.

# **IMPORTANT NOTICE**

Government Code section 84308 (also known as the "Levine Act") contains requirements that are summarized generally as follows:

- A. If you are an applicant for, or the subject of, any proceeding involving a license, permit, or other entitlement for use, you are prohibited from making a campaign contribution of more than \$500 to any member of the Board of Supervisors or other County officer (as defined in AR 49) who may participate in your proceeding. This prohibition begins on the date your application is filed or the proceeding is otherwise initiated, and ends 12 months after a final decision is rendered by the Board of Supervisors or other County officer. In addition, no Board member or other County officer who may participate in your proceeding may solicit or accept a campaign contribution of more than \$500 from you during this period, or during pending litigation after the proceeding is over.
- B. These prohibitions also apply to your agents. Your "agent" is someone who represents you for compensation before the County in connection with a proceeding involving a license, permit or other entitlement for use. If an individual acting as an agent is also acting in his or her capacity as an employee or member of a law, architectural, engineering, consulting firm, or similar business entity, both the business entity and the individual are "agents" for purposes of these rules.
- C. You must file the attached disclosure form and disclose whether you or your agent(s) have in the aggregate contributed more than \$500 to any Board member or other County officer who may participate in your proceeding during the 12-month period preceding the filing of the application or the initiation of the proceeding.
- D. If you or your agent have in the aggregate contributed more than \$500 to any individual Board member or other Couty officer who may participate in your proceeding during the 12 months preceding the decision on the application or proceeding, that Board member or other County officer must disqualify himself or herself from the decision. However, disqualification is not required if the Board member or other County officer returns the campaign contribution within 30 days from the time the officer knows, or should have

known, about both the contribution and the fact that you are a Party in the proceeding. The Campaign Contribution Disclosure Form should be completed and filed with your application or proposal, or with the first written document you file or submit after the proceeding commences.

A proceeding involving "a license, permit, or other entitlement for use" includes all business, professional, trade, and land use licenses and permits, and all other entitlements for use, including all entitlements for land use, most contracts, and all franchises. The definition of "license, permit, or other entitlement for use" generally <u>excludes</u> the following:

- 1. Competitively bid contracts that are required by law or County policies to be awarded pursuant to a competitive process.
- 2. Labor and personal employment contracts.
- 3. Contracts valued under fifty thousand dollars (\$50,000), or where no party receives financial compensation.
- 4. Contracts between the County and other government agencies.
- 5. The periodic review or renewal of development agreements or competitively bid contracts under certain circumstances.
- E. To determine whether a campaign contribution of more than \$500 has been made by you, campaign contributions made by you within the preceding 12 months must be aggregated with those made by your agent within the preceding 12 months or the period of the agency relationship, whichever is shorter. Additional rules regarding aggregation may apply to closely held corporations, etc., in accordance with the general rules under the Political Reform Act. Campaign contributions made to one County officer who may participate in your proceeding are not aggregated with contributions to other County officers who may participate in your proceeding.

This notice attempts to summarize the major requirements of Government Code section 84308 of the Political Reform Act and California Code of Regulations, Title 2, sections 18438-18438.8. The foregoing statements do not constitute legal advice, and parties and participants are urged to consult with their own legal counsel regarding the requirements of the law.

# Attachment C To Administrative Regulation 49

# COUNTY OF TULARE CAMPAIGN CONTRIBUTION DISCLOSURE FORM

Application or Solicitation Number:

Application or Solicitation Title:

Was a campaign contribution, regardless of the dollar amount, made to any member of the Tulare County Board of Supervisors or to any County Officer within the last 12 months, by the applicant, or, if applicable, any of the applicant's proposed subcontractors or the applicant's agent or lobbyist?

Yes \_\_\_\_\_ No\_\_\_\_

If no, please sign and date below.

If yes, please provide the following information:

Applicant's Name: \_\_\_\_\_

Contributor or Contributor Firm's Name: \_\_\_\_\_

Contributor or Contributor Firm's Address:

Is the Contributor: (check applicable boxes)

 The Applicant	Yes	No	
Subcontractor	Yes	No	
The Applicant's agent	Yes	No	

**Note:** Under California law as implemented by the Fair Political Practices Commission, campaign contributions made by the Applicant and the Applicant's agent must be aggregated together to determine the total campaign contribution made by the Applicant.

Identify the Board of Supervisors Member(s) or other County Officer(s) to whom you, your subcontractors, and/or agent made campaign contributions within the last 12 months, the name of the contributor, the dates of contribution(s) and dollar amount of the contribution. Each date must include the exact month, day, and year of the contribution.

## ATTACHMENT C To Administrative Regulation 49

(Please add an additional sheet(s) to identify additional Board Members or other County Officers to whom you, your subconsultants, and/or agents made campaign contributions)

By signing below, I certify that the statements made herein are true and correct. I also agree to disclose to the County any future contributions made to Board Members or other County Officers by the applicant, or, if applicable, any of the applicant's proposed subcontractors or the applicant's agents <u>after</u> the date of signing this disclosure form, and within 12 months following the approval, renewal, or extension of the requested license, permit, or entitlement to use.

Date

Signature of Applicant

Print Firm Name if applicable

Print Name of Applicant

# TULARE COUNTY BOARD OF SUPERVISORS AND ELECTED COUNTY OFFICERS

#### **Board of Supervisors**

Larry Micari, Supervisor, First District Pete Vander Poel, Supervisor, Second District Amy Shuklian, Supervisor, Third District Eddie Valero, Supervisor, Fourth District Dennis Townsend, Supervisor, Fifth District

#### **Elected County Officers**

Tara K. Freitas, Assessor/Clerk-Recorder Cass Cook, Auditor-Controller/Treasurer-Tax Collector Timothy Ward, District Attorney Michael Boudreaux, Sheriff-Coroner

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